

# Combinatória e Teoria de Códigos

## Exercises from the notes

### CHAPTER 1

1.1. The following binary word

01111000000?001110000?0011001100101011100000000?01110

encodes a date. The encoding method used consisted in writing the date in 6 decimal digits (e.g. 290296 means February 29th, 1996), then converting it to a number in base 2 (e.g. 290296 becomes 1000110110111111000), and encoding the binary number using the rule

$$\begin{aligned}\{0, 1\}^2 &\longrightarrow \mathcal{C} \subset \{0, 1\}^6 \\ 00 &\longmapsto 000000 \\ 01 &\longmapsto 001110 \\ 10 &\longmapsto 111000 \\ 11 &\longmapsto 110011\end{aligned}$$

The received word contains 3 unknown digits (which were deleted) and it may also contain some switched digits.

- Find the deleted bits.
  - How many, and in which positions, are the wrong bits?
  - Which date is it?
  - Repeat the problem switching the bits in positions 15 and 16.
- 1.2. Consider the binary code  $\{01101, 00011, 10110, 1100\}$ . Using minimum distance decoding, decode the following received words:
- 00000;
  - 01111;
  - 01101;
  - 11001.

1.3. Consider a binary channel with the following error probabilities

$$P(1 \text{ received} \mid 0 \text{ sent}) = 0,3 \quad \text{and} \quad P(0 \text{ sent} \mid 1 \text{ sent}) = 0,2 .$$

For the binary code  $\{000, 100, 111\}$ , use maximum likelihood decoding, to decode the received words

- 010;
  - 011;
  - 001.
- 1.4. Prove that, for a symmetric binary channel, with crossover probability  $p < \frac{1}{2}$ , the minimum distance and maximum likelihood decoding schemes coincide.
- 1.5. What is the capacity of a code, with minimum distance  $d$ , for detecting and correcting errors *simultaneously*? Illustrate with examples.
- 1.6. Discuss the capacity of a code, with minimum distance  $d$ , for correcting erasure errors, and for correcting symbol errors and erasure errors *simultaneously*. Prove your statements carefully and illustrate with examples.

1.7. (A HAMMING Code) We encode a *message vector* with 4 binary components  $m = m_1m_2m_3m_4$ ,  $m_i \in \{0, 1\}$ , as a *code word* with 7 binary components  $c = c_1c_2c_3c_4c_5c_6c_7$ ,  $c_j \in \{0, 1\}$ , defined by

$$c_3 = m_1 \quad ; \quad c_5 = m_2 \quad ; \quad c_6 = m_3 \quad ; \quad c_7 = m_4$$

and the other components are chosen so that

$c_4$  : such that  $\alpha = c_4 + c_5 + c_6 + c_7$  is even

$c_2$  : such that  $\beta = c_2 + c_3 + c_6 + c_7$  is even

$c_1$  : such that  $\gamma = c_1 + c_3 + c_5 + c_7$  is even.

Check that with this coding scheme we get a code which corrects an error in any position.

If we receive the vector  $x = x_1x_2x_3x_4x_5x_6x_7$ , we compute

$$\left. \begin{array}{l} \alpha = x_4 + x_5 + x_6 + x_7 \\ \beta = x_2 + x_3 + x_6 + x_7 \\ \gamma = x_1 + x_3 + x_5 + x_7 \end{array} \right\} \text{ mod } 2 ;$$

$\alpha\beta\gamma$  is the binary representation of the  $j$  component in which the error occurred. If  $\alpha\beta\gamma = 000$  we assume no error occurred.

Study this example carefully.

## CHAPTER 2

- 2.1. Show that  $A_q(n, d) < A_{q+1}(n, d)$ .
- 2.2. Show that, up to equivalence, there are precisely  $n$  binary codes with length  $n$  containing two words.
- 2.3. Show that  $A_2(5, 4) = 2$  and  $A_2(8, 5) = 4$ .
- 2.4. (a) Prove Proposition 2.8, i.e., show that (i)  $d(x, y) = w(x - y)$  and (ii)  $d(x, y) = w(x) + w(y) - 2w(x \cap y)$ , for all  $x, y \in \mathbb{Z}_2^n$ .  
 (b) With a counter-example, show that part (ii) of Proposition 2.8 is not true, in general, for vectors in  $\mathbb{Z}_3^n$ ,  $n > 1$ .
- 2.5. Using Lemma 2.12, verify that the volume of the balls with radius  $n$  in  $\mathcal{A}_q^n$  is  $q^n$ .
- 2.6. Show that, if there is a perfect code  $C$  with parameters  $(n, M, d)_q$ , then  $A_q(n, d) = M$  and equality holds in the Hamming Estimate.
- 2.7. Justify the statements in Example 2.20 by solving the following questions:  
 (a) Verify that a single word code satisfies equality in the Hamming Estimate.  
 (b) For  $C = \mathcal{A}_q^n$ , compute the packing radius  $\rho_e(C)$  and the covering radius  $\rho_c(C)$ . Verify that  $C$  satisfies the equality in the Hamming Estimate.  
 (c) Repeat part (b) for the binary repetition codes with odd length.
- 2.8. Show that, in the definition of a perfect code, it isn't necessary to assume that the minimum distance is odd. That is, show that, if  $C$  has even minimum distance, then  $\rho_e(C) < \rho_c(C)$ .
- 2.9. Prove the binary and  $q$ -ary Plotkin Estimates:  
 (a) For a  $(n, M, d)$  binary code  $C$  with  $n < 2d$ , show that

$$M \leq \begin{cases} \frac{2d}{2d-n} & \text{if } M \text{ is even} \\ \frac{2d}{2d-n} - 1 & \text{if } M \text{ is odd} \end{cases} .$$

- (b) For  $q$ -ary codes, show that

$$A_q(n, d) \leq \frac{d}{d - \theta n} ,$$

where  $d > \theta n$  and  $\theta = \frac{q-1}{q}$ .

- 2.10. (a) Given two vectors  $u = (u_1, \dots, u_n)$  and  $v = (v_1, \dots, v_m)$ , we define

$$(u|v) = (u_1, \dots, u_n, v_1, \dots, v_m) .$$

Let  $C_1$  and  $C_2$  be binary codes with parameters  $(n, M_1, d_1)$  and  $(n, M_2, d_2)$ , respectively. The *Plotkin Construction* of the codes  $C_1$  and  $C_2$  is the code defined by

$$C_1 * C_2 = \{(u|u + v) : u \in C_1, v \in C_2\} .$$

Show that the parameters of  $C_1 * C_2$  are  $(2n, M_1 M_2, d)$ , where  $d = \min\{2d_1, d_2\}$ .

(b) The important family of Reed-Muller binary codes can be obtained as follows:

$$\begin{cases} \mathcal{RM}(0, m) = \{\vec{0}, \vec{1}\} & \text{the binary repetition code with length } 2^m \\ \mathcal{RM}(m, m) = (\mathbb{Z}_2)^{2^m} \\ \mathcal{RM}(r, m) = \mathcal{RM}(r, m-1) * \mathcal{RM}(r-1, m-1), & 0 < r < m \end{cases}$$

for  $r, m \in \mathbb{N}_0$ , where  $C_1 * C_2$  denotes the Plotkin Construction obtained from the codes  $C_1$  and  $C_2$ .

Study this family of codes by showing that the parameters of  $RM(r, m)$  are:  $n = 2^m$ ,  $M = 2^{\delta(r, m)}$ , where  $\delta(r, m) = \sum_{i=0}^r \binom{m}{i}$ ,  $d = 2^{m-r}$ .

## CHAPTER 3

- 3.1. (a) Verify that the tables in Examples 3.21 and 3.22 are correct.  
 (b) Write a (ring) isomorphism between  $\mathbb{Z}_2 \oplus \mathbb{Z}_2$  and  $\mathbb{F}_2[t]/\langle t^2 + t \rangle$ .
- 3.2. Find a primitive element in each of the following fields:  $\mathbb{F}_5$ ,  $\mathbb{F}_{11}$  and  $\mathbb{F}_{13}$ .
- 3.3. The field  $\mathbb{F}_{2^4}$ :  
 (a) Show that the polynomial  $t^4 + t + 1$  is irreducible in  $\mathbb{F}_2[t]$ .  
 (b) Define  $\mathbb{F}_{2^4} = \mathbb{F}_2[t]/\langle t^4 + t + 1 \rangle$  by identifying its elements and by sketching the addition and multiplication tables.  
 (c) Find a primitive element in  $\mathbb{F}_{2^4}$ .
- 3.4. List all irreducible polynomials in  $\mathbb{F}_2[t]$  with degrees 2, 3 and 4.
- 3.5. Let  $I(p, n)$  be the number of irreducible monic polynomials of degree  $n$  in  $\mathbb{F}_p[t]$ .  
 (a) Show that  $I(p, 2) = \binom{p}{2}$ .  
 (b) Show that  $I(p, 3) = \frac{p(p^2 - 1)}{3}$ .  
 (c) Study Section 2.2 in the Appendix A for a proof of a formula for  $I(p, n)$ .
- 3.6. Let  $\mathbb{F}$  be a field with characteristic  $p$ , with  $p$  a prime number. Show that  $\mathbb{F}$  is a vector space over  $\mathbb{F}_p$ . Conclude that the order of any finite field is a power of a prime number.
- 3.7. (a) Justify that the polynomials  $t^3 + t + 1$  and  $t^3 + t^2 + 1$  are irreducible in  $\mathbb{F}_2[t]$ .  
 (b) Justify that both quotients  $A = \mathbb{F}_2[t]/\langle t^3 + t + 1 \rangle$  and  $B = \mathbb{F}_2[t]/\langle t^3 + t^2 + 1 \rangle$  are isomorphic to the field  $\mathbb{F}_8$ , and write an isomorphism  $\phi : A \rightarrow B$ .  
 [Suggestion: Let  $\alpha \in A$  be a root of  $1 + t + t^3$  and  $\beta \in B$  be a root of  $1 + t^2 + t^3$ . Find a relation between  $\alpha$  and  $\beta$  or, more precisely, find a root of  $1 + t^2 + t^3$  in  $A$ .]  
 (c) For the description  $A$  of  $\mathbb{F}_8$ , determine a primitive element. Justify that  $A$  is a vector space over  $\mathbb{F}_2$  and write a basis.
- 3.8. Let  $V$  be a vector subspace of  $\mathbb{F}_q^n$ , with dimension  $1 \leq k \leq n$ .  
 (a) How many vectors does  $V$  contain?  
 (b) How many distinct bases does  $V$  have?
- 3.9. (a) Determine the number of nonsingular  $n \times n$  square matrices with entries in a finite field  $\mathbb{F}_q$ .  
 (b) What is the probability  $P(q, n)$  of a  $n \times n$  matrix over  $\mathbb{F}_q$  being nonsingular?
- 3.10. Consider the vector space  $\mathbb{F}_q^n$  over  $\mathbb{F}_q$ . Denote by  $\begin{bmatrix} n \\ k \end{bmatrix}_q$  the number of  $k$  dimensional subspaces of  $\mathbb{F}_q^n$ :

(a) Show that

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{(q^n - 1)(q^{n-1} - 1) \cdots (q^{n-k+1} - 1)}{(q^k - 1)(q^{k-1} - 1) \cdots (q - 1)}.$$

(b) Show that

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}_q + q^k \begin{bmatrix} n-1 \\ k \end{bmatrix}_q.$$

(c) Justify that

$$\lim_{q \rightarrow 1} \begin{bmatrix} n \\ k \end{bmatrix}_q = \binom{n}{k}.$$

- 3.11. (a) Show that  $\mathbb{F}_{q^m}$  is a vector space over  $\mathbb{F}_q$ , with the vector sum and product by a scalar defined via the operations in  $\mathbb{F}_{q^m}$ .  
 (b) Let  $f(t) \in \mathbb{F}_q[t]$  be an irreducible polynomial in  $\mathbb{F}_q[t]$ , with degree  $m$ , and let  $\alpha \in \mathbb{F}_{q^m}$  be a root  $f(t)$ . Show that  $\{1, \alpha, \alpha^2, \dots, \alpha^{m-1}\}$  is a basis of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ .

3.12. Let  $V$  be a finite dimensional vector space over  $\mathbb{F}_{q^m}$ .

- (a) Show that  $V$  is also a vector space over  $\mathbb{F}_q$  and

$$\dim_{\mathbb{F}_q}(V) = m \dim_{\mathbb{F}_{q^m}}(V),$$

where  $\dim_{\mathbb{F}}(V)$  denotes the dimension of  $V$  as an  $\mathbb{F}$ -vector space.

- (b) Let  $\{v_1, \dots, v_k\}$  be a basis of  $V$  over  $\mathbb{F}_{q^m}$ , and  $\{\alpha_1, \dots, \alpha_m\}$  be a basis of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ . Show that  $\{\alpha_i v_j : i = 1, \dots, m; j = 1, \dots, k\}$  is a basis of  $V$  over  $\mathbb{F}_q$ .

3.13. Let  $V$  and  $W$  be vector subspaces of  $\mathbb{F}_q^n$ . Show that the sum  $V + W$  (defined by  $V + W = \{v + w \in \mathbb{F}_q^n : v \in V, w \in W\}$ ), and the intersection  $V \cap W$  are vector spaces. Show also that the sum  $V + W$  is the vector space generated by  $V$  and  $W$ .

3.14. Let  $\langle \cdot, \cdot \rangle_H : \mathbb{F}_{q^2}^n \times \mathbb{F}_{q^2}^n \rightarrow \mathbb{F}_{q^2}$  be defined by

$$\langle u, v \rangle_H = \sum_{i=1}^n u_i v_i^q,$$

where  $u = (u_1, \dots, u_n), v = (v_1, \dots, v_n) \in \mathbb{F}_{q^2}^n$ . Show that  $\langle \cdot, \cdot \rangle_H$  is an inner product in  $\mathbb{F}_{q^2}^n$ .

Remark:  $\langle \cdot, \cdot \rangle_H$  is the *hermitian inner product*. The *hermitian dual* of a linear code  $C$  is defined as

$$C^{\perp_H} = \{v \in \mathbb{F}_{q^2}^n : \langle v, c \rangle_H = 0 \quad \forall c \in C\}.$$

3.15. Recall that  $\mathbb{F}_4 = \mathbb{F}_2[t]/\langle t^2 + t + 1 \rangle = \{0, 1, \alpha, \alpha^2\}$ , where  $\alpha$  is a root of  $t^2 + t + 1 \in \mathbb{F}_2[t]$ . Show that the following linear codes over  $\mathbb{F}_4$  are self-dual with respect to the hermitian inner product defined in the previous problem:

(a)  $C_1 = \langle (1, 1) \rangle \subset \mathbb{F}_4^2$ ,

(b)  $C_2 = \langle (1, 0, 0, 1, \alpha, \alpha), (0, 1, 0, \alpha, 1, \alpha), (0, 0, 1, \alpha, \alpha, 1) \rangle \subset \mathbb{F}_4^6$ .

Are these self-dual codes with respect to the euclidean inner product?

## CHAPTER 4

- 4.1. Let  $C$  be a  $[n, k]$  linear code over  $\mathbb{F}_q$ . For each  $i \in \{1, \dots, n\}$ , show that either  $x_i = 0$  for all  $x = (x_1, \dots, x_n) \in C$ , or  $C$  contains  $\frac{|C|}{q} = q^{k-1}$  words with  $x_i = a$ , for  $a \in \mathbb{F}_q$  fixed.
- 4.2. Let  $C$  be a binary linear code. Show that either all words in  $C$  have even weight, or half of them have even weight and the other half odd weight.
- 4.3. Let  $C$  be a  $[n, k, 2t + 1]$  binary code and let  $C' = \{x \in C : w(x) \text{ is even}\}$  be the subcode of  $C$  consisting of the even weighted words.
- Show that  $C'$  is a linear code.
  - Find the dimension of  $C'$ . Justify carefully your answer.
- 4.4. Write a generating matrix, a parity-check matrix, and the parameters  $[n, k, d]$  for the smallest linear code over  $\mathbb{F}_q$  containing the set  $S$ , when
- $q = 3$ ,  $S = \{110000, 011000, 001100, 000110, 000011\}$ ;
  - $q = 2$ ,  $S = \{10101010, 11001100, 11110000, 01100110, 00111100\}$ .
- 4.5. Let  $C$  be a linear code with length  $n \geq 4$ . Let  $H$  be a parity-check matrix for  $C$  such that its columns are distinct and have odd weight. Show that  $d(C) \geq 4$ .
- 4.6. (a) For a  $q$ -ary linear code, with length  $n$  and minimum distance  $d$ , show that the vectors  $x \in \mathbb{F}_q^n$  with weight  $w(x) \leq \lfloor \frac{d-1}{2} \rfloor$  are coset leaders of distinct cosets of this code.
- (b) Let  $C$  be a perfect code with  $d(C) = 2t + 1$ . Show that the only coset leaders of  $C$  are the ones determined in part (a).
- (c) Assuming that the perfect code  $C$  in part (b) is binary, let  $\widehat{C}$  be the code obtained from  $C$  by adding a parity-check digit, i.e.,

$$\widehat{C} = \{(x_1, \dots, x_n, x_{n+1}) \in \mathbb{F}_2^{n+1} : (x_1, \dots, x_n) \in C, \sum_{i=1}^{n+1} x_i = 0\}.$$

Show that the weight of any coset leader of  $\widehat{C}$  is less or equal than  $t + 1$ .

- 4.7. Consider the linear code over  $\mathbb{F}_{11}$  with parity-check matrix

$$H = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & X \\ 1^2 & 2^2 & 3^2 & 4^2 & 5^2 & 6^2 & 7^2 & 8^2 & 9^2 & X^2 \end{bmatrix}.$$

- (a) Find the parameters  $[n, k, d]$  of this code. [Suggestion: First show that in any field  $\mathbb{K}$

$$\begin{vmatrix} 1 & 1 & 1 \\ a_1 & a_2 & a_3 \\ a_1^2 & a_2^2 & a_3^2 \end{vmatrix} = (a_3 - a_1)(a_2 - a_1)(a_3 - a_2), \quad \forall a_1, a_2, a_3 \in \mathbb{K}].$$

- Write a generating matrix for the code.
- Describe a decoding algorithm for this code that can correct 1 error and detect 2 errors in any position.
- Apply that algorithm to decode the received vectors

$$x = 0204000910 \quad e \quad y = 0120120120.$$

4.8. Solve the analogous problem to the previous one for the linear code over  $\mathbb{F}_{11}$  with parity-check matrix

$$\begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & X \\ 1^2 & 2^2 & 3^2 & 4^2 & 5^2 & 6^2 & 7^2 & 8^2 & 9^2 & X^2 \\ 1^3 & 2^3 & 3^3 & 4^3 & 5^3 & 6^3 & 7^3 & 8^3 & 9^3 & X^3 \end{bmatrix} .$$

Decode also the received vector  $z = 1204000910$ .

4.9. Find a  $[7, K]$  linear code with the largest possible rate which can correct the following error vectors: 1000000, 1000001, 1100001, 1100011, 1110011, 1110111 and 1111111.

4.10. Consider a linear code  $C$  over  $\mathbb{F}_3 = \{0, 1, 2\}$  with parity-check matrix

$$H = \begin{bmatrix} 2 & 1 & 2 & 1 & 1 & 0 \\ 1 & 1 & 2 & 1 & 0 & 1 \\ 0 & 1 & 0 & 2 & 0 & 0 \end{bmatrix} .$$

- Determine the  $[n, k, d]$  parameters of  $C$ .
- Find a generator matrix in standard form for the code  $C$ .
- What is the capacity of  $C$  for correcting erasure errors? Give a detailed justification.
- Explain what to do with the following received words

$$x = 2101?? , \quad y = 1???12 \quad \text{e} \quad z = ???210 .$$

4.11. Prove Proposition 4.29. Show that, for a perfect code, we also have that  $\alpha_i = 0$  for all  $i > t$ .

- Show that the ISBN minimum distance is 2.
- How many words in ISBN end with the symbol  $X \in \mathbb{F}_{11}$ ?
- How many words in ISBN end with the symbol  $a \in \{0, 1, \dots, 9\} \subset \mathbb{F}_{11}$ ?
- Let  $C$  be the linear code over  $\mathbb{F}_{11}$  defined in Example 4.33 and let  $C' \subset C$  be the subcode defined by

$$C' = \{x \in C : x_i \neq X \quad \forall i = 1, \dots, 10\} .$$

Show that  $|C'| = 82644629$ .

[Suggestion: use the Inclusion-Exclusion Principle and Exercise 4.1.]



## CHAPTER 5

- 5.1. Check the equalities (5.2) in Example 5.3.
- 5.2. If there is a  $[n, k, d]_q$  code, show that there is also a  $[n - r, k - r, d]$  code for any  $1 \leq r \leq k - 1$ .
- 5.3. Given a  $[n, k, d]_q$  code  $C$ ,
- (a) is there always a  $[n + 1, k, d + 1]$  code?
  - (b) is there always a  $[n + 1, k + 1, d]$  code?
- 5.4. (a) Let  $G_1$  and  $G_2$  be generating matrices for the  $q$ -ary linear codes  $C_1$  and  $C_2$ , respectively. show that

$$G = \begin{bmatrix} G_1 & 0 \\ 0 & G_2 \end{bmatrix}$$

is a generating matrix for the sum code  $C_1 \oplus C_2$ .

- (b) Write a parity-check matrix for  $C_1 \oplus C_2$  in terms of parity-check matrices  $H_1$  and  $H_2$  for  $C_1$  and  $C_2$ , respectively.

- 5.5. Repeat the previous exercise for the Plotkin construction:
- (a) If  $C_1$  and  $C_2$  are linear codes, show that  $C_1 * C_2$  is also linear.
  - (b) Let  $G_1$  and  $G_2$  be generating matrices for the  $q$ -ary linear codes  $C_1$  and  $C_2$ , respectively, both with length  $n$ . Show that

$$G = \begin{bmatrix} G_1 & G_1 \\ 0 & G_2 \end{bmatrix}$$

is a generating matrix for  $C_1 * C_2$ .

- (c) If  $H_1$  and  $H_2$  are parity-check matrices for  $C_1$  and  $C_2$ , respectively, write a parity-check matrix for  $C_1 * C_2$  in terms of  $H_1$  and  $H_2$ .

- 5.6. Consider the linear codes  $C_1$  and  $C_2$  over  $\mathbb{F}_q$ , with length  $n$  and dimensions  $\dim(C_i) = k_i$ ,  $i = 1, 2$ , and define

$$C = \{(a + x, b + x, a + b + x) : a, b \in C_1, x \in C_2\} .$$

- (a) Show that  $C$  is a linear code with parameters  $[3n, 2k_1 + k_2]$ .
- (b) Write a generating matrix for  $C$  in terms of generating matrices  $G_1$  and  $G_2$  for  $C_1$  and  $C_2$ , respectively.
- (c) Write a parity-check matrix for  $C$  in terms of parity-check matrices  $H_1$  and  $H_2$  for  $C_1$  and  $C_2$ , respectively.

- 5.7. Let  $C$  be a  $[n, k, d]_2$  linear code, with  $k \geq 2$ , and let  $c \in C$ , with  $d \leq w(c) < n$ , be such that

$$G_{k \times n} = \begin{bmatrix} - & c & - \\ & G'_{(k-1) \times n} & \end{bmatrix}$$

is a generating matrix for  $C$ . If  $c_{i_1} = c_{i_2} = \dots = c_{i_{n-w}} = 0$  are the zero components of  $c$ , consider the submatrix of  $G'$

$$G'_1 = \begin{bmatrix} g'_{1i_1} & g'_{1i_2} & \cdots & g'_{1i_{n-w}} \\ \vdots & \vdots & \ddots & \vdots \\ g'_{(k-1)i_1} & g'_{(k-1)i_2} & \cdots & g'_{(k-1)i_{n-w}} \end{bmatrix} .$$

The code with  $G'_1$  as a generator matrix is called the *Residual Code*  $\text{RES}(C, c)$ .

- (a) Justify that we can always choose a codeword satisfying the same conditions as  $c$ .
- (b) Show that, for a fixed  $c \in C$ , the code  $\text{RES}(C, c)$  does not depend on the matrix  $G'$ .

(c) Show, with examples, that  $\text{RES}(C, c)$  depends on the chosen word  $c$  and, even if  $w(c) = w(c')$ , in general we have  $\text{RES}(C, c) \neq \text{RES}(C, c')$  and, moreover, these codes may not be equivalent.

(d) Now fix  $c \in C$ , with  $w(c) = w(C) = d$ . Show that  $\text{RES}(C, c)$  is a  $[n - d, k - 1, d']$  code with  $d' \geq \left\lceil \frac{d}{2} \right\rceil$ .

(e) Define

$$n^*(k, d) = \min\{n \in \mathbb{N} : \exists \text{ a binary } [n, k, d] \text{ code}\},$$

and show that

$$n^*(k, d) \geq \sum_{i=0}^{k-1} \left\lceil \frac{d}{2^i} \right\rceil.$$

(f) Show that the binary simplex codes (the dual of the binary Hamming codes – Definition 6.1) satisfy the equality in the inequality in part (e).

5.8. Let  $\alpha$  be a root of  $1 + t^2 + t^3 \in \mathbb{F}_2[t]$  and consider the map  $\phi : \mathbb{F}_8 \rightarrow \mathbb{F}_2^3$  defined by  $\phi(a_1 + a_2\alpha + a_3\alpha^2) = (a_1, a_2, a_3)$ , where  $a_1, a_2, a_3 \in \mathbb{F}_2$ . Consider the linear code

$$A = \langle (\alpha + 1, \alpha^2 + 1, 1) \rangle$$

over  $\mathbb{F}_8$ . What are the parameters of  $\phi^*(A)$ ?

5.9. Let  $\alpha$  be a root of  $1 + t + t^2 \in \mathbb{F}_2[t]$ . Consider the linear code

$$A = \langle (1, 1), (\alpha, 1 + \alpha) \rangle$$

over  $\mathbb{F}_4$ , and the binary code  $B = \{0000, 1100, 1010, 0110\}$ . Let  $\phi : \mathbb{F}_4 \rightarrow B$  be the map defined by  $\phi(1) = 1100$  and  $\phi(\alpha) = 1010$ . What are the parameters  $C = \phi^*(A)$ ?

5.10. Consider the linear code  $A = \langle (1, \alpha^2, 0), (\alpha, 0, 1) \rangle$  over  $\mathbb{F}_4 = \mathbb{F}_2[\alpha]$  (where  $\alpha^2 = 1 + \alpha$ ) and the binary linear code  $B = \langle 1010, 0101 \rangle$ . Let  $A^*$  be the concatenation of  $A$  and  $B$  with respect to the linear function  $\phi : \mathbb{F}_4 \rightarrow \mathbb{F}_2^4$  defined by  $\phi(1) = 1010$  and  $\phi(\alpha) = 1111$ .

(a) Write a basis for the code  $A^*$ .

(b) Find the parameters  $[n, k, d]$  for the code  $A^*$ .

## CHAPTER 6

- 6.1. Let  $C$  be the binary Hamming code  $\text{Ham}(3, 2)$  in Example 6.2. Decode the received vectors  $y = 1101101$  and  $z = 1111111$ .
- 6.2. Let  $C$  be a  $\text{Ham}(5, 2)$  code and assume that column  $j$  of the parity-check matrix is a binary representation of the integer  $j$ . Find the parameters of  $C$  and decode the received vector  $y = \vec{e}_1 + \vec{e}_3 + \vec{e}_{15} + \vec{e}_{20}$ , where  $\vec{e}_i$  is the vector with a 1 in the  $i$ -th coordinate and 0 in all the others.
- 6.3. Write the parameters and a parity-check matrix  $H$  for  $\text{Ham}(2, 5)$ . Using your matrix  $H$ , decode the received vector  $y = 3\vec{e}_1 + \vec{e}_3 + 2\vec{e}_4$ .
- 6.4. Write the parameters and a parity-check matrix for  $\text{Ham}(3, 4)$ .
- 6.5. Describe a decoding algorithm for the extended Hamming code  $\widehat{\text{Ham}}(r, 2)$  that corrects any simple error and detects double errors simultaneously.
- 6.6. Let  $C$  be the binary code with the following parity-check matrix

$$H = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} .$$

- (a) Determine the  $[n, k, d]$  parameters of the code  $C$ .
- (b) Show that  $C$  can be used to correct all errors with weight 1 and all errors with weight 2 with a nonzero  $n$ -th component. Can this code correct simultaneously all these errors plus a few more with weight 2?
- (c) Describe a decoding algorithm that corrects all errors mentioned in part (b), and decode the received vector  $y = 10111011$ .
- 6.7. (a) Show that
- $$\mathcal{RM}(r, m)^\perp = \mathcal{RM}(m - r - 1, m), \forall 0 \leq r < m.$$
- (b) Show that  $\mathcal{RM}(1, m)$  contains a unique word of weight 0, namely the zero word, a unique word of weight  $2^m$ , namely the word whose components are all 1, and  $2^{m+1} - 2$  words of weight  $2^{m-1}$ .
- (c) Show that  $\mathcal{RM}(1, m)$  is equivalent to the dual of an extended binary Hamming code.
- (d) Conclude that the words in the dual of a Hamming code of redundancy  $r$  are all equidistant and have weight  $2^{r-1}$ .
- 6.8. For each binary vector  $x \in \mathbb{F}_2^n$ , consider the corresponding vector  $x^* \in \{+1, -1\}^n$  obtained by replacing each zero component by the real number +1 and each 1 by -1.
- (a) Show that, if  $x, y \in \mathbb{F}_2^n$ , then, using the euclidean inner product in  $\mathbb{R}^n$ ,

$$\langle x^*, y^* \rangle = n - 2d(x, y) .$$

In particular, if  $x, y \in \mathbb{F}_2^{2h}$  with  $d(x, y) = h$ , then  $\langle x^*, y^* \rangle = 0$ .

- (b) Let  $\mathcal{RM}(1, m)^\pm = \{c_1^*, c_2^*, \dots, c_{2^{m+1}}^*\}$  be the code obtained replacing each codeword  $c \in \mathcal{RM}(1, m)$  by its  $\pm 1$  version  $c^*$ . Show that:
- (i)  $c^* \in \mathcal{RM}(1, m)^\pm \Rightarrow -c^* \in \mathcal{RM}(1, m)^\pm$  ;
- (ii)  $\langle c_i^*, c_j^* \rangle = \begin{cases} 2^m & \text{se } c_i^* = c_j^* \\ -2^m & \text{se } c_i^* = -c_j^* \\ 0 & \text{se } c_i^* \neq \pm c_j^* \end{cases} .$

(c) Apply part (b) to justify the following decoding algorithm: If  $y$  is the received vector, compute the inner products  $\langle y, c_i^* \rangle$ , for  $i = 1, \dots, 2^{m+1}$ , and decode  $y$  by the codeword  $c_j^*$  which maximizes these products.

6.9. Justify that the Hamming codes  $\text{Ham}(2, q)$ , with redundancy 2, are MDS codes.

6.10. Let  $\mathbb{F}_4 = \{0, 1, \alpha, \alpha^2\}$ , where  $\alpha$  is a root of  $1 + t + t^2$ . Let  $C$  be a linear code over  $\mathbb{F}_4$  with generating matrix

$$G = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & \alpha & \alpha^2 \end{bmatrix}.$$

Write a generating matrix for the dual code  $C^\perp$ . Show that  $C$  and  $C^\perp$  are MDS codes.

6.11. Show that the only binary MDS codes are the trivial ones.

6.12. Let  $C$  be a  $q$ -ary MDS code with parameters  $[n, k]$ , where  $k < n$ .

(a) Show that there is a  $q$ -ary MDS code with length  $n$  and dimension  $n - k$ .

(b) Show that there is a  $q$ -ary MDS code with length  $n - 1$  and dimension  $k$ .

6.13. In each of the two cases below, show that the linear code  $C$  over  $\mathbb{F}_q$  with parity-check matrix  $H$  is MDS, where  $\mathbb{F}_q = \{0, a_1, a_2, \dots, a_{q-1}\}$  and

(a)

$$H = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 \\ a_1 & a_2 & a_3 & \cdots & a_{q-1} \\ a_1^2 & a_2^2 & a_3^2 & \cdots & a_{q-1}^2 \\ \vdots & \vdots & \vdots & & \vdots \\ a_1^{r-1} & a_2^{r-1} & a_3^{r-1} & \cdots & a_{q-1}^{r-1} \end{bmatrix}, \quad 1 \leq r \leq q - 2;$$

(b)

$$H = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 & 1 & 0 \\ a_1 & a_2 & a_3 & \cdots & a_{q-1} & 0 & 0 \\ a_1^2 & a_2^2 & a_3^2 & \cdots & a_{q-1}^2 & \vdots & \vdots \\ \vdots & \vdots & \vdots & & \vdots & 0 & 0 \\ a_1^{r-1} & a_2^{r-1} & a_3^{r-1} & \cdots & a_{q-1}^{r-1} & 0 & 1 \end{bmatrix}, \quad 1 \leq r \leq q - 1.$$

6.14. Let  $C$  be the code over  $\mathbb{F}_4 = \{0, 1, \alpha, \alpha^2\}$  (where  $\alpha^2 = 1 + \alpha$ ) with parity-check matrix

$$H = \begin{bmatrix} 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & \alpha & \alpha^2 & 0 & 1 & 0 \\ 1 & \alpha^2 & \alpha & 0 & 0 & 1 \end{bmatrix}.$$

Show that  $C$  is a MDS code.

Try to generalize this example, or justify that it can not be done, to obtain a code over an arbitrary field  $\mathbb{F}_q$ , with length  $q + 2$  and redundancy  $3 \leq r \leq q - 1$ .

## CHAPTER 7

7.1. Let  $x, y \in \mathbb{F}_q^n$ .

(a) Show that  $w(x - y) \geq w(x) - w(y)$ .

(b) Show that  $d(x, y) = w(x) - w(y)$  if and only if  $x$  covers  $y$ .

(These properties were used in the proof of Theorem 7.12.)

7.2. Consider the vector space  $V = \mathbb{F}_q^3$ .

(a) Show that  $V$  contains  $\frac{q^3-1}{q-1} = q^2 + q + 1$  1-dimensional vector subspaces.

(b) Show that  $V$  contains  $\frac{q^3-1}{q-1} = q^2 + q + 1$  2-dimensional vector subspaces.

(c) Let  $\mathcal{P}$  be the set of 1-dimensional vector subspaces and let  $\mathcal{B}$  be the set of 2-dimensional vector subspaces. Show that  $\mathcal{P}$  (as the set of points) and  $\mathcal{B}$  (as the set of blocks), with the relation  $P \in \mathcal{P}$  belongs to  $B \in \mathcal{B}$  if  $P$  is a subspace of  $B$ , define a Steiner system  $S(2, q + 1, q^2 + q + 1)$ .

**Note:** Since the number of points and the number of blocks are the same, this Steiner system is called a 2-dimensional projective geometry (or a projective plane) of order  $q$ , and it is denoted by  $PG(2, q)$  or  $PG_2(q)$ .

7.3. From the extended Golay code  $G_{24}$ , construct a Steiner system  $S(5, 8, 24)$ .

7.4. (Generalization of the previous exercise.) Let  $C$  be a binary perfect code with length  $n$  and minimum distance  $2t + 1$ . Show that there is a Steiner system  $S(t + 2, 2t + 2, n + 1)$ .

7.5. Show that a  $q$ -ary Hamming code  $\text{Ham}(r, q)$  contains

$$A_3 = \frac{q(q^r - 1)(q^{r-1} - 1)}{6}$$

words with weight 3.

7.6. How many words with weight 7 are there in  $G_{23}$ ?

7.7. How many words with weight 5 are there in  $G_{11}$ ?

7.8. For any code  $C$ , we define  $A_i = \#\{x \in C : w(x) = i\}$ . Determine the numbers  $A_i$  for the extended Golay code  $G_{24}$ . [Suggestion: Show that  $\vec{1} \in G_{24}$ .]

## CHAPTER 8

- 8.1. (a) Show that the *cyclic shift*  $\sigma : \mathbb{F}_q^n \rightarrow \mathbb{F}_q^n$  defined by
- $$\sigma(x_1, \dots, x_{n-1}, x_n) = (x_n, x_1, \dots, x_{n-1})$$
- is a bijective linear function.
- (b) Show that the code  $C$  is cyclic if and only if  $\sigma^i(C) = C$  for all  $i \in \mathbb{Z}$ .
- 8.2. (a) Show that  $\langle 2, t \rangle$  is not a principal ideal in  $\mathbb{Z}[t]$ .
- (b) Show that  $\langle x, y \rangle$  is not a principal ideal in the ring of two variable polynomials<sup>1</sup>  $\mathbb{F}_q[x, y]$ .
- 8.3. For a fixed  $a \in \mathbb{F}_q$ , show that the set  $I = \{f(t) \in \mathbb{F}_q[t] : f(a) = 0\}$  is an ideal in  $\mathbb{F}_q[t]$ . Determine a generator for  $I$ .
- 8.4. The ideals in the following questions are ideals in the ring  $R_n = \mathbb{F}_q[t]/\langle t^n - 1 \rangle$ . Assuming that  $g(t) | t^n - 1$  in  $\mathbb{F}_q[t]$ , show that
- (a)  $\langle f_1(t) \rangle \subset \langle f_2(t) \rangle$  if and only if  $f_2(t)$  divides  $f_1(t)$  in  $R_n$ ;
- (b)  $\langle f(t) \rangle = \langle g(t) \rangle$  if and only if there exists  $a(t) \in \mathbb{F}_q[t]$  such that  $f(t) \equiv a(t)g(t) \pmod{t^n - 1}$  and  $\gcd(a(t), h(t)) = 1$ , where  $h(t)g(t) = t^n - 1$ ;
- 8.5. Factorize  $t^7 - 1$  in  $\mathbb{F}_2[t]$  and identify all cyclic binary codes with length 7.
- 8.6. Classify all cyclic codes with length 4 over  $\mathbb{F}_3$ . Conclude that the ternary Hamming code  $\text{Ham}(2, 3)$  is not equivalent to a cyclic code.
- 8.7. (a) Write  $t^{12} - 1$  as a product of irreducible polynomials in  $\mathbb{F}_3[t]$ .
- (b) How many ternary cyclic codes of length 12 are there?
- (c) Determine the integers  $k$  for which there is a ternary  $[12, k]$  cyclic code.
- (d) How many ternary  $[12, 9]$  cyclic codes are there?
- 8.8. Let  $C$  be a binary cyclic code with generator polynomial  $g(t)$ .
- (a) Show that, if  $t - 1$  divides  $g(t)$ , then all code words have even weight.
- (b) Assuming that  $C$  has odd length, show that  $C$  contains a word with odd weight if and only if the vector  $\vec{1} = (1, \dots, 1)$  is a code word.
- 8.9. (a) Determine the generator polynomial and the dimension of the smallest binary cyclic code which contains the word  $c = 1110010 \in \mathbb{F}_2^7$ .
- (b) Write a generating matrix, the check polynomial and the parity-check matrix for the code your code in part (a).
- 8.10. (a) Determine the generator polynomial and the dimension of the smallest ternary cyclic code which contains the word  $c = 212110$ .
- (b) What's the minimum distance of that code? Justify your answer.
- 8.11. Let  $C$  be a cyclic code, with length  $n$ , with generator polynomial  $g(t)$ . Show that, if  $C = \langle f(t) \rangle$ , i.e., if  $f(t)$  is a generator for the ideal  $C$ , then  $g(t) = \gcd(f(t), t^n - 1)$ . In particular, conclude that the generator polynomial of the smallest cyclic code, with length  $n$ , containing  $f(t)$  is  $g(t) = \gcd(f(t), t^n - 1)$ .
- 8.12. If  $g(t)$  is the generator polynomial of a cyclic code, show that  $\langle g(t) \rangle$  and  $\langle \bar{g}(t) \rangle$  are equivalent codes. Conclude that the code generated by the check polynomial of a cyclic code  $C$  is equivalent to the dual code  $C^\perp$ .

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<sup>1</sup>This holds in  $\mathbb{K}[x, y]$ , with  $\mathbb{K}$  any field.

8.13. Suppose that, in  $\mathbb{F}_2[t]$ ,

$$t^n - 1 = (t - 1)g_1(t)g_2(t)$$

and that  $\langle g_1(t) \rangle$  and  $\langle g_2(t) \rangle$  are equivalent codes. Show that:

- (a) If  $c(t)$  is a code word in  $\langle g_1(t) \rangle$  with odd weight  $w$ , then
  - (i)  $w^2 \geq n$ ;
  - (ii) If, moreover,  $g_2(t) = \bar{g}_1(t)$ , then  $w^2 - w + 1 \geq n$ .
- (b) If  $n$  is an odd prime number,  $g_2(t) = \bar{g}_1(t)$  and  $c(t)$  is a code word in  $\langle g_1(t) \rangle$  with even weight  $w$ , then
  - (i)  $w \equiv 0 \pmod{4}$ ;
  - (ii)  $n \neq 7 \Rightarrow w \neq 4$ .
- (c) Show that the binary cyclic code with length 23 generated by the polynomial  $g(t) = 1 + t^2 + t^4 + t^5 + t^6 + t^{10} + t^{11}$  is a perfect code  $[23, 12, 7]$  – the binary Golay Code.

8.14. (a) Let  $g(t)$  be the generator polynomial of a binary Hamming code  $\text{Ham}(r, 2)$ , with  $r \geq 3$ . Show that the parameter of  $C = \langle (t - 1)g(t) \rangle$  are  $[2^r - 1, 2^r - r - 2, 4]$ . [Suggestion: apply exercise 8.8.]

(b) Show that the code  $C$  can be used to correct all adjacent double errors.

8.15. (Generalization of the previous exercise.) Let  $C = \langle (t + 1)f(t) \rangle$  be a binary cyclic code with length  $n$ , where  $f(t) \mid t^n - 1$ , but  $f(t) \nmid t^k - 1$ , for  $1 \leq k \leq n - 1$ . Show that  $C$  corrects all simple errors and also the adjacent double errors.

8.16. Consider binary cyclic code with length  $n = 15$  generated by the polynomial  $g(t) = 1 + t^3 + t^4 + t^5 + t^6$ .

- (a) Justify that  $g(t)$  is indeed the generator polynomial of this code.
- (b) Write a generator matrix, the check polynomial and a parity-check matrix for this code.
- (c) Write a generator matrix in the form  $G = [R \ I]$  for this code and the corresponding parity-check matrix.
- (d) Use systematic coding to encode the message vector  $m = 010010001$ .
- (e) Given that this code has minimum distance  $d(C) = 5$ , decode the received vector  $y = 010011000111010$ , and carefully justify your procedure.

8.17. (a) Verify that  $g(t) = 2 + t^2 + 2t^3 + t^4 + t^5$  divides  $t^{11} - 1$  in  $\mathbb{F}_3[t]$ .

(b) Let  $C$  be the ternary cyclic code generated by  $g(t)$ . Knowing that it's a  $[11, 6, 5]_3$  code, use the Error Trapping Algorithm to decode the received vector  $y = 20121020112$ .

(c) What is the proportion of errors with weight 2 which are not corrected by this algorithm?

8.18. Consider the binary cyclic code  $[15, 5, 7]$  with generator polynomial  $g(t) = 1 + t + t^2 + t^4 + t^5 + t^8 + t^{10}$ .

(a) Justify that the Error Trapping Algorithm can correct all error vectors with weight  $\leq 3$  except for  $\hat{e} = 100001000010000$  and its cyclic shifts  $\sigma^j(\hat{e})$ .

(b) Decode the received vector  $y = 111101010011101$ .

(c) (i) Complete this algorithm so that it also corrects the errors of the form  $\hat{e}^j$ ,  $j = 0, 1, 2, 3, 4$ .  
 [Suggestion: Note that the syndrome of  $\hat{e}(t)$  is  $1 + t^5 + \rho(t)$ , where  $\rho(t)$  is the remainder of the division of  $t^{10}$  by  $g(t)$ .]

(ii) Decode the received vector  $y' = 111000111100100$ .

8.19. Consider again the binary cyclic with length  $n = 15$  with generator polynomial  $g(t) = 1 + t^3 + t^4 + t^5 + t^6$  as in Exercise 8.16.

(a) Verify that, although this is a code with minimum distance 5, it corrects up to burst 3-errors. Explain carefully the meaning of that statement and justify your answer.

(b) Decode the received vector  $y = 011100000111000$  using the Burst-Error Trapping Algorithm.

8.20. Show that the interleaved code of degree  $s$ ,  $C^{(s)}$ , is equivalent to the sum code  $C \oplus \cdots \oplus C$  of  $s$  copies of  $C$ . Conclude that  $d(C^{(s)}) = d(C)$ .

8.21. Finish the proof of Theorem 8.52 (a): Let  $C$  be a  $q$ -ary linear code and let  $x^{(s)}$  and  $y^{(s)}$  be the vectors obtained by interleaving  $x_1, \dots, x_s \in C$  and  $y_1, \dots, y_s \in C$ , respectively. Show that

- (i)  $x^{(s)} + y^{(s)}$  is the result of interleaving the vectors  $x_1 + y_1, \dots, x_s + y_s$ ;
- (ii)  $ax^{(s)}$  is the result of interleaving the vectors  $ax_1, \dots, ax_s$ , where  $a \in \mathbb{F}_q$ .

8.22. Let  $C = \text{Ham}(3, 2)$  be the binary Hamming code with redundancy 3 and generator polynomial  $g(t) = 1 + t + t^3$ .

- (a) Find the parameters and the generator polynomial of  $C^{(3)}$ .
- (b) Show that  $C^{(3)}$  corrects all  $m$ -burst errors with  $m \leq 3$ .
- (c) Using the Burst Error Trapping Algorithm, decode the following received vector

$$y(t) = t + t^3 + t^5 + t^7 + t^8 + t^9 + t^{11} .$$

8.23. A  $q$ -ary cyclic code, with length  $n$ , is called *degenerate* if there is  $r \in \mathbb{N}$  such that  $r$  divides  $n$  and each code word is of the form  $c = c'c' \cdots c'$  with  $c' \in \mathbb{F}_q^r$ , i.e., each code word consists of  $n/r$  identical copies of a sequence  $c'$  with length  $r$ .

- (a) Show that the interleaved code  $C^{(s)}$  of a repetition code  $C$  is degenerate.
- (b) Show that the generator polynomial of a degenerate cyclic code with length  $n$  is of the form

$$g(t) = a(t)(1 + t^r + t^{2r} + \cdots + t^{n-r}) .$$

- (c) Show that a cyclic code with length  $n$  and check polynomial  $h(t)$  is degenerate if and only if there is  $r \in \mathbb{N}$  such that  $r$  divides  $n$  and  $h(t)$  divides  $t^r - 1$ .

8.24. Let  $C$  be the binary linear code with the following parity-check matrix

$$H = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \end{bmatrix} .$$

- (a) Find the minimum distance  $d(C)$ , and determine the code capacity for detecting and correcting random errors.

- (b) Show that  $C$  detects all burst- $m$  errors with  $m \leq 3$ .

**Note:** In this exercise, we consider only burst- $m$  errors in the “strict sense”, i.e., vectors in the form  $(0, \dots, 0, 1, *, \dots, *, 1, 0, \dots, 0)$  where all nonzero coordinates have indices between  $i \geq 1$  and  $i + m - 1 \leq n$ .

- (c) Let  $C'$  be the punctured code, in the last coordinate, of the dual code  $C^\perp$ . Show that  $C'$  is a degenerate cyclic code, and determine its generator polynomial.

8.25. Determine all degenerate, cyclic and binary codes with length 9, writing the generator polynomials and the corresponding  $r$ -sequences.



## CHAPTER 9

- 9.1. Write a generator matrix and a parity-check matrix for a Reed-Solomon code  $[6, 4]$ , and determine its minimum distance.
- 9.2. Determine the generator polynomial of a Reed-Solomon over  $\mathbb{F}_{16}$  with dimension 11. Write a parity-check matrix for that code.
- 9.3. Show that the dual of a Reed-Solomon code is a Reed-Solomon code.
- 9.4. Let  $C$  be the Reed-Solomon code over  $\mathbb{F}_8$  with generator polynomial  $g(t) = (t-\alpha)(t-\alpha^2)(t-\alpha^3)$ , where  $\alpha \in \mathbb{F}_8$  is a root of  $1+t+t^3$ .
- Justify that  $\alpha$  is a primitive element in  $\mathbb{F}_8$ .
  - Find the parameters of  $C$ .
  - Find the parameters of the dual code  $C^\perp$ .
  - Find the parameters of the extended code  $\widehat{C}$ .
  - Find the parameters of the concatenation code  $C^* = \phi^*(C)$ , where  $\phi : \mathbb{F}_8 \rightarrow \mathbb{F}_2^3$  is the linear map defined by  $\phi(1) = 100$ ,  $\phi(\alpha) = 010$  and  $\phi(\alpha^2) = 101$ .
- 9.5. (a) Write the generator polynomial for a Reed-Solomon code  $C$ , with parameters  $[7, 2]$ .  
 (b) Let  $\alpha$  be a root of  $1+t+t^3 \in \mathbb{F}_2[t]$  and consider the map  $\phi : \mathbb{F}_8 \rightarrow \mathbb{F}_2^3$  defined by  $\phi(a_0 + a_1\alpha + a_2\alpha^2) = (a_0, a_1, a_2)$ . Find the parameters of  $C^* = \phi^*(C)$ .  
 (c) Let  $\widehat{\phi} : \mathbb{F}_8 \rightarrow \mathbb{F}_2^4$  be defined by  $\widehat{\phi}(a_0 + a_1\alpha + a_2\alpha^2) = (a_0, a_1, a_2, a_0 + a_1 + a_2)$ . Find the parameters of  $C' = \widehat{\phi}^*(C)$ .  
 (d) What can you say about the capacity of  $C^*$  and  $C'$  for correcting random errors and/or burst errors?
- 9.6. Consider the Reed-Solomon code  $C$  over  $\mathbb{F}_8$  with the following generator polynomial:
- $$g(t) = (t - \alpha)(t - \alpha^2)(t - \alpha^3)(t - \alpha^4) = \alpha^3 + \alpha t + t^2 + \alpha^3 t^3 + t^4,$$
- where we identify  $\mathbb{F}_8$  with the quotient  $\mathbb{F}_2[t]/\langle 1+t+t^3 \rangle$ , and  $\alpha \in \mathbb{F}_8$  is a root of  $1+t+t^3$ .
- Find the parameters  $[n, k, d]$  of  $C$ .
  - Apply the Error Trapping Algorithm to decode the following received vectors  
 $y = (0, 1, 0, \alpha^2, 0, 0, 0)$  and  $z = (0, \alpha^3, 0, 1, \alpha^3, 1, 1)$ .
  - Let  $\phi : \mathbb{F}_8 \rightarrow \mathbb{F}_2^3$  be a linear isomorphism over  $\mathbb{F}_2$ . What can you say about the capacity of the concatenation code  $C^* = \phi^*(C)$  for correcting burst errors?
- 9.7. Recall that a linear code  $C$  is *self-orthogonal* if  $C \subset C^\perp$ . Determine the generator polynomial of all self-orthogonal Reed-Solomon codes over  $\mathbb{F}_{16}$ . Which of these codes are self-dual?
- 9.8. Consider the linear code over  $\mathbb{F}_{11}$  with generating matrix

$$G = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & X \end{bmatrix}.$$

- Show that this code is equivalent to a cyclic code  $C$ .
- Determine the generator polynomial and conclude that  $C$  is a Reed-Solomon code.

9.9. (Generalization of the previous exercise.) Let  $C$  be a  $[q-1, k]$  code, over  $\mathbb{F}_q$ , with generator matrix

$$G = \begin{bmatrix} 1 & 1 & 1 & 1 & \cdots & 1 \\ 1 & \alpha & \alpha^2 & \alpha^3 & \cdots & \alpha^{q-2} \\ 1 & \alpha^2 & \alpha^4 & \alpha^6 & \cdots & \alpha^{2(q-2)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \alpha^{k-1} & \alpha^{2(k-1)} & \alpha^{3(k-1)} & \cdots & \alpha^{(q-2)(k-1)} \end{bmatrix},$$

where  $\alpha$  is a primitive element in  $\mathbb{F}_q$  and  $1 \leq k \leq q-2$ .

- (a) Show that  $C$  is a cyclic code.
- (b) Determine the generator polynomial and conclude that  $C$  is a Reed-Solomon code.

## APPENDIX A

- A.1. Prove the Inclusion-Exclusion Principle by induction on the number of the sets  $E_i$ ,  $1 \leq i \leq r$ .
- A.2. How many integers between 1 and 1000 are not divisible by 2, 3, 5, but are divisible by 7?
- A.3. How many permutations of  $\{a, b, c, \dots, x, y, z\}$  do not contain the words *sim*, *riso*, *mal* and *cabe*?
- A.4. How many integer solutions to  $x_1 + x_2 + x_3 + x_4 = 21$  are there if:  
 (a)  $x_i \geq 0$ ,  $i = 1, 2, 3, 4$ ;  
 (b)  $0 \leq x_i \leq 8$ ,  $i = 1, 2, 3, 4$ ;  
 (c)  $0 \leq x_1 \leq 5$ ,  $0 \leq x_2 \leq 6$ ,  $3 \leq x_3 \leq 8$ ,  $4 \leq x_4 \leq 9$ .
- A.5. Determine the number of monic polynomials of degree  $n$  in  $\mathbb{F}_q[t]$  without roots in  $\mathbb{F}_q$ , where  $\mathbb{F}_q$  is a field with  $q$  elements.
- A.6. (a) How many integers  $n$  between 1 and 15000 satisfy  $\gcd(n, 15000) = 1$ ?  
 (b) How many integers  $n$  between 1 and 15000 have a common divisor with 15000?
- A.7. Compute  $\phi(n)$  and  $\mu(n)$  for: (i) 51, (ii) 82, (iii) 200, (iv) 420 and (v) 21000.
- A.8. Find all positive integers  $n \in \mathbb{N}$  such that  
 (a)  $\phi(n)$  is odd;  
 (b)  $\phi(n)$  is a power of 2;  
 (c)  $\phi(n)$  is a multiple of 4.
- A.9. Show that  $\phi(n^m) = n^{m-1}\phi(n)$ , for  $n, m \in \mathbb{N}$ .
- A.10. Prove the following properties of the Euler function:  
 (i) if  $p$  is prime, then  $\phi(p) = p - 1$  and  $\phi(p^k) = p^k - p^{k-1}$ ;  
 (ii) if  $n = ab$  with  $\gcd(a, b) = 1$ , then  $\phi(n) = \phi(a)\phi(b)$ .

And use them to show that

$$\phi(n) = n - \sum_{i=1}^r \frac{n}{p_i} + \sum_{1 \leq i < j \leq r} \frac{n}{p_i p_j} + \cdots + (-1)^r \frac{n}{p_1 \cdots p_r} = n \prod_{i=1}^r \left(1 - \frac{1}{p_i}\right),$$

where  $n = p_1^{e_1} p_2^{e_2} \cdots p_r^{e_r}$ , with  $p_1, \dots, p_r$  distinct prime numbers and  $e_i \geq 1$ .

- A.11. Write the power series for  $\frac{1}{1-ax}$ ,  $a \neq 0$ , that is, compute the inverse of  $1-ax$  in the ring  $\mathbb{Z}[[x]]$  (or in  $\mathbb{R}[[x]]$ ).
- A.12. Use formal derivatives and induction to show that
- $$\frac{1}{(1-x)^k} = \sum_{n=0}^{\infty} \binom{k-1+n}{n} x^n, \quad \text{for all } k \in \mathbb{N}.$$
- A.13. A die is rolled 12 times. What is the probability that the sum is 30?
- A.14. Zé wants to buy  $n$  blue, red or white marbles (the shop has a large stock in each color). In how many ways can Zé choose  $n$  marbles so that he buys an even number in blue?
- A.15. Ana, Bernardo, Carla and David organized a barbeque and bought 12 steaks and 16 sardines. In how many ways can they share the steaks and sardines if:  
 (a) Each of them gets at least a steak and two sardines.

- (b) Bernardo gets at least a steak and three sardines, and each of the other friends gets at least two steaks but no more than five sardines.

A.16. Let  $f_0(x)$  be the generating function for the sequence  $1, 1, 1, \dots$  and, for  $k \geq 1$ , let  $f_k(x)$  be the generating function for  $0^k, 1^k, 2^k, 3^k, \dots$ . We have already shown that  $f_0(x) = \frac{1}{1-x}$ . Now show that

$$f_k(x) = x(f_{k-1}(x))' \quad \text{for } k \geq 1.$$

Write the functions  $f_1, f_2$  and  $f_3$  explicitly.

A.17. Show that  $\log\left(\frac{1}{1-x}\right) = \sum_{n=1}^{\infty} \frac{x^n}{n}$ .

A.18. Using generating functions, solve the following recurrence relation:

$$\begin{cases} a_0 = 1, \\ a_1 = 2, \\ a_n = 2a_{n-2}, \quad n \geq 2. \end{cases}$$

A.19. Using generating function, find the general term of the Fibonacci sequence

$$\begin{cases} a_0 = a_1 = 1, \\ a_n = a_{n-1} + a_{n-2}, \quad \text{for } n \geq 2. \end{cases}$$

A.20. Let  $d_n$  be the determinant of the following  $n \times n$  ( $n \geq 1$ ) matrix

$$A_n = \begin{bmatrix} 2 & -1 & 0 & 0 & \cdots & 0 & 0 \\ -1 & 2 & -1 & 0 & & & 0 \\ 0 & -1 & 2 & \ddots & \ddots & & \vdots \\ 0 & 0 & \ddots & \ddots & \ddots & 0 & 0 \\ \vdots & & \ddots & \ddots & 2 & -1 & 0 \\ 0 & & & 0 & -1 & 2 & -1 \\ 0 & 0 & \cdots & 0 & 0 & -1 & 2 \end{bmatrix}.$$

Find a recurrence relation for  $d_n$  and solve it.

A.21. Repeat the previous exercise for the matrix obtained from  $A_n$

- (a) replacing 2 by 3, and  $-1$  by  $\sqrt{2}$ ;  
 (b) replacing 2 by 0 and keeping the  $-1$  entries.

A.22. Find a recurrence relation for  $s_n = \sum_{i=0}^n i^2$  and solve it.

A.23. An *order  $k$  homogeneous linear recurrence relation with constant coefficients* is of the form

$$c_0 a_n + c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_k a_{n-k} = 0 \quad (n \geq k),$$

where  $c_0, c_1, \dots, c_k \in \mathbb{R}$  are constants, and  $c_0 \neq 0$ . The *characteristic polynomial* of the recurrence relation is defined by

$$p(x) = c_0 x^k + c_1 x^{k-1} + \cdots + c_{k-1} x + c_k \in \mathbb{R}[x],$$

and its roots are called *characteristic roots*.

- (a) Show that the general solution of a first order recurrence relation is  $a_n = a_0 r^n$ ,  $n \geq 0$ , where  $r = -\frac{c_1}{c_0}$ , i.e.,  $r$  is the root of the associated characteristic polynomial.  
 (b) Study the homogeneous quadratic (of second order) case by proving the following statements:

- (i) If the characteristic roots  $r_1$  and  $r_2$  are real and distinct, then the general solution is

$$a_n = A(r_1)^n + B(r_2)^n ,$$

where  $A, B \in \mathbb{R}$  are constants, i.e.,  $(r_1)^n$  and  $(r_2)^n$  are two linearly independent solutions.

- (ii) If there is only one characteristic root  $r \in \mathbb{R}$  (of multiplicity 2), then the general solution is

$$a_n = Ar^n + Bnr^n ,$$

where  $A, B \in \mathbb{R}$  are constants.

- (iii) If there are two complex roots  $r_1, r_2 \in \mathbb{C}$ , then  $r_1$  and  $r_2$  are complex conjugates and the general solution is

$$a_n = A(r_1)^n + B(r_2)^n ,$$

where  $A, B \in \mathbb{C}$  are constants (as in the real case). Show also that, if  $a_0, a_1 \in \mathbb{R}$ , then  $A$  and  $B$  are complex conjugates and  $a_n \in \mathbb{R}$ , for all  $n \geq 0$ .

[Suggestion: recall that any  $z \in \mathbb{C} \setminus \{0\}$  can be written as  $z = \rho(\cos(\theta) + i \operatorname{sen}(\theta))$  and  $(\cos(\theta) + i \operatorname{sen}(\theta))^n = \cos(n\theta) + i \operatorname{sen}(n\theta)$ .]

- (c) Generalize part (b) for relations of order  $k$ :

- (i) Show that, if  $r \in \mathbb{R}$  is a characteristic root with multiplicity  $m$ , then it contributes with

$$a_n^{(r)} = A_0r^n + A_1nr^n + A_2n^2r^n + \cdots + A_{m-1}n^{m-1}r^n ,$$

for the general solution, where  $A_0, A_1, \dots, A_{m-1} \in \mathbb{R}$  are constants.

- (ii) If  $r \in \mathbb{C}$  is a complex characteristic root with multiplicity  $m$ , what is the contribution of  $r$  and of its conjugate  $\bar{r}$  to the general solution?

A.24. Using the previous exercise, solve the following recurrence relations:

- (a)  $a_n = 2a_{n-1} + 3a_{n-2}$ ,  $n \geq 2$ , and  $a_0 = 3$ ,  $a_1 = 5$ ;  
 (b)  $4a_n - 4a_{n-1} + a_{n-2} = 0$ ,  $n \geq 2$ , and  $a_0 = 5$ ,  $a_1 = 4$ ;  
 (c)  $a_n - 2a_{n-1} + 2a_{n-2} = 0$ ,  $n \geq 2$ , and  $a_0 = a_1 = 4$ ;  
 (d)  $a_n = a_{n-1} + 5a_{n-2} + 3a_{n-3}$ ,  $n \geq 3$ , and  $a_0 = a_1 = 3$ ,  $a_2 = 7$ .