

The Algebra of Grand Unified Theories

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Introduction

There's a loose correspondence between particle physics and representation theory:

- Particles \rightarrow basis vectors in a representation V of a Lie group G .
- Classification of particles \rightarrow decomposition into irreps.
- Unification $\rightarrow G \hookrightarrow H$; particles are "unified" into fewer irreps.
- Grand Unification \rightarrow as above, but H is simple.
- The Standard Model \rightarrow a particular representation V_{SM} of a particular Lie group G_{SM} .

The Standard Model

- The Standard Model group is $G_{\text{SM}} = U(1) \times SU(2) \times SU(3)$.
- The Standard Model representation is made from:

Standard Model Representation		
Particles	Symbol	G_{SM} -representation
Left-handed leptons	$\begin{pmatrix} \nu_L \\ e_L^- \end{pmatrix}$	$\mathbb{C}_{-3} \otimes \mathbb{C}^2 \otimes \mathbb{C}$
Left-handed quarks	$\begin{pmatrix} u_L^r, u_L^g, u_L^b \\ d_L^r, d_L^g, d_L^b \end{pmatrix}$	$\mathbb{C}_1 \otimes \mathbb{C}^2 \otimes \mathbb{C}^3$
Right-handed neutrino	ν_R	$\mathbb{C}_0 \otimes \mathbb{C} \otimes \mathbb{C}$
Right-handed electron	e_R^-	$\mathbb{C}_{-6} \otimes \mathbb{C} \otimes \mathbb{C}$
Right-handed up quarks	u_R^r, u_R^g, u_R^b	$\mathbb{C}_4 \otimes \mathbb{C} \otimes \mathbb{C}^3$
Right-handed down quarks	d_R^r, d_R^g, d_R^b	$\mathbb{C}_{-2} \otimes \mathbb{C} \otimes \mathbb{C}^3$

Here, we've written a bunch of $G_{\text{SM}} = U(1) \times SU(2) \times SU(3)$ irreps as $U \otimes V \otimes W$, where

- U is a $U(1)$ irrep \mathbb{C}_Y , where $Y \in \mathbb{Z}$. The underlying vector space is just \mathbb{C} , and the action is given by $\alpha \cdot z = \alpha^Y z$, $\alpha \in U(1), z \in \mathbb{C}$
- V is an $SU(2)$ irrep, either \mathbb{C} or \mathbb{C}^2 .
- W is an $SU(3)$ irrep, either \mathbb{C} or \mathbb{C}^3 .

The Standard Model representation is

$$V_{\text{SM}} = \mathbb{C}_{-3} \otimes \mathbb{C}^2 \otimes \mathbb{C} \oplus \dots \oplus \mathbb{C}_{-2} \otimes \mathbb{C} \otimes \mathbb{C}^3 \oplus \text{dual}$$

The GUTs Goal

- $G_{\text{SM}} = U(1) \times SU(2) \times SU(3)$ is a mess!
- $V_{\text{SM}} = \mathbb{C}_{-3} \otimes \mathbb{C}^2 \otimes \mathbb{C} \oplus \dots \oplus \mathbb{C}_{-2} \otimes \mathbb{C} \otimes \mathbb{C}^3 \oplus \text{dual}$ is a mess!
- Explain the Y 's in the \mathbb{C}_Y 's.
- Explain other patterns, like $\dim V_{\text{SM}} = 32 = 2^5$.

Or, *much* more broadly:

- Unify V_{SM} into fewer irreps.

The GUTs Trick

Let V be a representation of some group G , and suppose $G_{\text{SM}} \subseteq G$. Then

- V is also representation of G_{SM} ;
- V may break apart into more G_{SM} -irreps than G -irreps.

... and Its Technicalities

More precisely, we want:

- A **group** G ,
- a **representation** V ,
- a **map** $G_{\text{SM}} \rightarrow G$
- such that V becomes isomorphic to V_{SM} when we restrict back to G_{SM} .
- That is, **prove** there exists a homomorphism $G_{\text{SM}} \rightarrow G$ and a linear isomorphism $V_{\text{SM}} \rightarrow V$ making

$$\begin{array}{ccc} G_{\text{SM}} & \longrightarrow & G \\ \downarrow & & \downarrow \\ U(V_{\text{SM}}) & \longrightarrow & U(V) \end{array}$$

commute.

Reference

John Baez and John Huerta, The algebra of grand unified theories, *Bull. Amer. Math. Soc.* **47** (2010), 483–552. Also available as [arXiv:0904.1556](https://arxiv.org/abs/0904.1556).

Three Grand Unified Theories (GUTs)

The SU(5) Theory

(H. Georgi and S. Glashow, 1974)

- The **group** is $SU(5)$.
- The **representation** is $\Lambda\mathbb{C}^5$.
- The **map** takes G_{SM} onto the subgroup of $SU(5)$ preserving a splitting: $\mathbb{C}^2 \oplus \mathbb{C}^3 \cong \mathbb{C}^5$.
- $\Lambda\mathbb{C}^5 \cong V_{\text{SM}}$ as a representation of G_{SM} . More precisely:
- **Theorem.** There's a homomorphism $\phi: G_{\text{SM}} \rightarrow SU(5)$ and a linear isomorphism $h: V_{\text{SM}} \rightarrow \Lambda\mathbb{C}^5$ making

$$\begin{array}{ccc} G_{\text{SM}} & \xrightarrow{\phi} & SU(5) \\ \downarrow & & \downarrow \\ U(V_{\text{SM}}) & \xrightarrow{U(h)} & U(\Lambda\mathbb{C}^5) \end{array}$$

commute.

The Pati–Salam Model

(J. Pati and A. Salam, 1974)

- The **group** is $\text{Spin}(4) \times \text{Spin}(6)$.
- **Reminder:** $\text{Spin}(2n)$ is the double cover of $SO(2n)$.
- The **representation** is $\Lambda\mathbb{C}^2 \otimes \Lambda\mathbb{C}^3$.
- **Reminder:** $\text{Spin}(2n)$ has a faithful representation on $\Lambda\mathbb{C}^n$.
- The **map** takes G_{SM} to the subgroup of $\text{Spin}(4) \times \text{Spin}(6)$ preserving the gradings on $\Lambda\mathbb{C}^2$ and $\Lambda\mathbb{C}^3$.
- $\Lambda\mathbb{C}^2 \otimes \Lambda\mathbb{C}^3 \cong V_{\text{SM}}$ as a representation of G_{SM} . More precisely:
- **Theorem.** There's a homomorphism $\theta: G_{\text{SM}} \rightarrow \text{Spin}(4) \times \text{Spin}(6)$ and linear isomorphism $f: V_{\text{SM}} \rightarrow \Lambda\mathbb{C}^2 \otimes \Lambda\mathbb{C}^3$ making

$$\begin{array}{ccc} G_{\text{SM}} & \xrightarrow{\theta} & \text{Spin}(4) \times \text{Spin}(6) \\ \downarrow & & \downarrow \\ U(V_{\text{SM}}) & \xrightarrow{U(f)} & U(\Lambda\mathbb{C}^2 \otimes \Lambda\mathbb{C}^3) \end{array}$$

commute.

The Spin(10) Theory

(H. Georgi, 1974)

- The **group** is $\text{Spin}(10)$.
- The **representation** is $\Lambda\mathbb{C}^5$.

For the **map**, we have two choices.

- Either extend the $SU(5)$ map:

$$\begin{array}{ccc} SU(5) & \xrightarrow{\psi} & \text{Spin}(10) \\ \downarrow & & \downarrow \\ U(\Lambda\mathbb{C}^5) & \xrightarrow{1} & U(\Lambda\mathbb{C}^5) \end{array}$$

- Or extend the Pati–Salam map:

$$\begin{array}{ccc} \text{Spin}(4) \times \text{Spin}(6) & \xrightarrow{\eta} & \text{Spin}(10) \\ \downarrow & & \downarrow \\ U(\Lambda\mathbb{C}^2 \otimes \Lambda\mathbb{C}^3) & \xrightarrow{U(g)} & U(\Lambda\mathbb{C}^5) \end{array}$$

Either way, we get the $\text{Spin}(10)$ theory!

The $\text{Spin}(10)$ theory is well-defined, because of our final result.

Conclusion

The GUTs Cube

- If we put the two routes to the $\text{Spin}(10)$ theory together, we get **the GUTs cube**:

$$\begin{array}{ccccc} & & G_{\text{SM}} & \xrightarrow{\phi} & SU(5) \\ & \nearrow \theta & & & \downarrow \psi \\ \text{Spin}(4) \times \text{Spin}(6) & \xrightarrow{\eta} & \text{Spin}(10) & & \\ \downarrow & & \downarrow & & \downarrow \\ U(\Lambda\mathbb{C}^2 \otimes \Lambda\mathbb{C}^3) & \xrightarrow{U(f)} & U(V_{\text{SM}}) & \xrightarrow{U(h)} & U(\Lambda\mathbb{C}^5) \\ & & \downarrow U(g) & & \downarrow 1 \end{array}$$

- **Theorem.** We can choose ϕ and θ such that the GUTs cube commutes.

Morals

- The $\text{Spin}(10)$ theory *unites* the $SU(5)$ theory and the Pati–Salam model.
- The Standard Model is the *compromise* between the $SU(5)$ theory and the Pati–Salam model.