

Pascal - Lecture 5

What have we seen so far?

- We (half) converted a geometric question to a topological question:

| | |
|---|---|
| GEOMETRY are two regular closed curves regularly homotopic | TOPOLOGY are two corresponding loops homotopic |
|---|---|
- We have only seen one implication of Whitney-Graustein.
 We haven't proved the converse though... This is often how algebraic topology works: you get topological obstructions to geometric questions. To go back one needs more and that's where the power of Smale's Theorem lies.
- Some algebraic invariants of spaces: π_0, π_1 ; Bary Van Kampen, contractibility, connecting morphism. $\partial: \pi_1(B) \rightarrow \pi_0(F)$
 - for $p: E \rightarrow B$
 - with HLP_k
 - for $k=0,1$

↑
bijection if E is simply connected.

A complete (not just half) classification of immersions.

Fix a manifold M (\mathbb{R}^2 or S^2) for example

$\mathcal{I} \equiv \text{Imm}([0,1], M) := \{f: [0,1] \rightarrow M : f \text{ is an immersion}\}$ equipped with the distance

$$d(f,g) = \sup_{t \in [0,1]} \text{dist}(f(t), g(t)) + \text{dist}(f'(t), g'(t))$$

← can compare them even though they are not at the same point

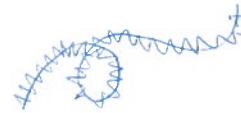


two nearby immersions

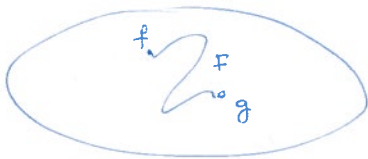
This is called the C^1 -topology on the set of immersions.

An immersion is now a point in \mathcal{I} .

Two points f, g are regularly homotopic $\Leftrightarrow f, g$ can be connected by a path



not close because tangent vectors are not close.

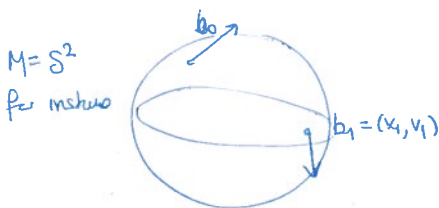


path in $\mathcal{I} \equiv$ regular homotopy

To prove the extension of the sphere it suffices to show that the space of immersions of the sphere in \mathbb{R}^3 is path connected.

The space of immersions with prescribed origin \neq and/or endpoint

Fix $b_0 = (x_0, v_0) \in T_{x_0} M = \{(x, v) : x \in M, v \text{ is tangent at } x \text{ and } \neq 0\}$



$$\mathcal{Y}_{b_0} = \{f \in \mathcal{I} : (f(0), f'(0)) = b_0\}$$

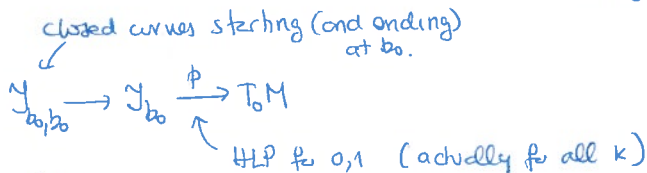
$$\mathcal{Y}_{b_0, b_1} = \{f \in \mathcal{I} : (f(0), f'(0)) = b_0, (f(1), f'(1)) = b_1\}$$

For instance \mathcal{Y}_{b_0, b_0} is the space of based regular curves.

We want to prove that for $M = S^2$, \mathcal{Y}_{b_0, b_0} has exactly 2 path components (we already know that there are at least two) ^{for example}. Fixing two base points does not affect much, trust me. $\pi_0(\mathcal{Y}_{b_0, b_0})$ can be accessed using the connecting homomorphism

~~This is based on 2 facts:~~

Goal: Prove that we have

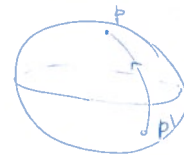


and that \mathcal{Y}_{b_0} is simply connected.

We'll see that in fact

Theorem: \mathcal{Y}_{b_0} is contractible

Proof: To prove something is contractible we need to move all points to the base point, but one needs to be careful:

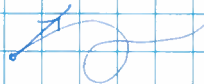


A contraction of a space is a continuous homotopy

$$H: X \times [0,1] \rightarrow X \text{ with } H_0 = \text{constant map}$$

$$H_1 = \text{id}$$

Why is this true for immersions starting at b_0



does not work, will not be continuous on both ends.

Want to deform it to the immersion to the geodesic going in direction b_0

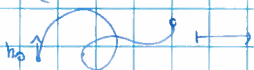
Idea: first shorten the curve and then when it is very short, project to geodesic and then rescale the start of geodesic to all of c

geodesic with beginning at b_0 .



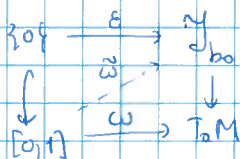
Define $p: \mathcal{Y}_{b_0} \rightarrow T_0 M$

$$(f: [0,1] \rightarrow M) \mapsto (p(t), f'(t))$$

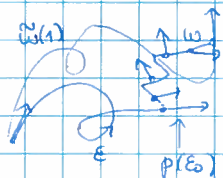


We won't prove this is a bundle but at least give the idea it has HLP₀ (in the spirit of Smale's article regular curves in Riemannian manifolds)

~~is~~ p is HLP₀



$$\mathcal{C} = [0,1] \times \mathbb{R} \rightarrow M \quad \mathcal{E}(s) = b_0$$



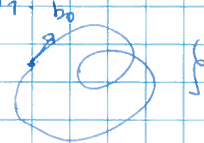
tangent vector does not follow

both lifting property means $\exists \tilde{\omega}$.

The details are quite technical. In the paper Smale writes an explicit formula. One has to be careful to guarantee that what one obtains is still an immersion.

Because it is an explicit formula it also allows you to obtain HLP₁.

Now we can complete the proof: The fiber $F = p^{-1}(b_0) = \mathcal{Y}_{b_0, b_0} = \{ \}$



$$\partial = \pi_0(\mathcal{Y}_{b_0, b_0}) \cong \pi_1(T_0 M) \quad (\text{we are using this to compute } \pi_0 \text{ whereas before we used to compute } \pi_1)$$

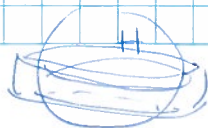
We can apply this to any M . For instance for $M = S^2$, $\pi_0 \mathcal{Y}_{b_0, b_0} = \pi_1 \pi_0 S^2 = \pi_1 T_0 S^2 = \pi_1 SO(3) \cong \mathbb{Z}/2$

$$(S^2 \times \mathbb{R})$$

There's a little work to be done to see that the curves we talked about represent the two components but this is not difficult.

Finally the division of the sphere (it's the same idea)

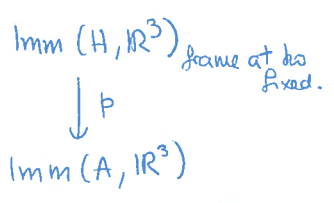
What about $\text{Imm}(S^2, \mathbb{R}^3)$? We do a standard thing in AT: cut the manifold in two pieces which are easier to understand



H = northern hemisphere plus a little bit \cong Disk
 A = annulus around the equator $\cong S^1 \times [-\epsilon, \epsilon]$

Want to show that two immersions of the sphere are homotopic.

There is no loss of generality in assuming that the 2 immersions coincide near a point. We'll assume that they coincide on the bottom. (and also on the annulus) but may be very different in the rest of the northern hemisphere



$S^2 \rightarrow \mathbb{R}^3$
 Immersion means f is C^1
 $df: \mathbb{R}^2 \rightarrow \mathbb{R}^3$ is injective at each point

The map p is a fibration (ie. has H^1_k for all k)

Fiber is the space of immersions with a fixed standard immersion on the bottom of the sphere.

$$\pi_1(\text{Imm}(A, \mathbb{R}^3)) = \pi_0(\text{fiber})$$

↑
 need to show this is trivial

Immersion of an annulus are just like immersions of the central circle together with a choice of transverse direction. Therefore $\pi_1(\text{Imm}(A, \mathbb{R}^3)) \cong \pi_2 SO(3)$ which is known to be 0.

Perspectives : You've learned some old tools in Alg. Topology

Smale-Hirsch have managed to extend the above theory to immersions of arbitrary manifolds in another (this is from the 60s - old stuff)

Is the space ~~is~~ non-empty? For instance you saw a glass immersion of K in \mathbb{R}^3
 Whitney showed that M of dimension n immerses in \mathbb{R}^{2n+1}

- $\mathbb{R}P^2 \rightarrow \mathbb{R}^3$
- $\mathbb{R}P^3 \rightarrow \mathbb{R}^4$
- $\mathbb{R}P^4 \rightarrow \mathbb{R}^6$

these are obstructions to finding immersions \rightarrow cohomology classes $w_i \in H^i(V; \mathbb{Z}/2)$

Smale : develop analogous theory for embeddings

Answer : Goodwillie-Klein-Weiss zeros : embedding calculus

Embedding is ~~different~~ difficult : $\pi_0 \text{Emb}(S^1, \mathbb{R}^3) = \{ \text{different types of knots} \}$