

Our goal today is to generalize Whitney-Graustein about regular closed curves in the plane to regular closed curves in any surface (or even manifold).

Recall regular curve $f: [0,1] \rightarrow \mathbb{R}^2$, f of class C^1 $f'(t) \neq (0,0)$ $f(0)=f(1)$ $f'(0)=f'(1)$

Not allowed



because the derivative will not depend continuously on deformation parameter

Have an invariant: rotation number $\gamma(f) \in \mathbb{Z}$.

Today we'll need to introduce a very important tool in algebraic topology - The fundamental group. $\pi_1(X, x_0)$
To understand this group we'll use coverings

A reference for today is Smale "Regular closed curves in Riemannian manifolds".

Note: the definition of regular homotopy is not a pure topological definition.

We proved half of Whitney-Graustein. We'll see the (more complicated) reverse implication in the more general context of Smale's Theorem

Example:



reg. homotopic to



(flip along y-axis



turn 3 times \sim reg. homotopic to one turn



comes out of Scientific American.

last challenge:



turn 2 times $\not\sim$ just going around once

Actually we'll prove that on the sphere there are exactly two regular htry classes of curves

In fact there is a very simple rule to determine whether the class is one or the other: count simple double crossing points.

$$f: [0,1] \rightarrow \mathbb{R}^2 \quad (S^2, \dots)$$

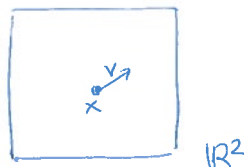
$$\hat{f}: [0,1] \rightarrow \mathbb{R}^2 \times S^1$$

$$t \mapsto \left(f(t), \frac{f'(t)}{\|f'(t)\|} \right)$$

[on Monday we were just looking at 2nd factor]

$$\left. \begin{matrix} f(0)=f(1) \\ f'(0)=f'(1) \end{matrix} \right\} \Rightarrow \hat{f}(0)=\hat{f}(1)$$

$\mathbb{R}^2 \times S^1$ is the unit tangent bundle of the plane.



(x, v) with $x \in \mathbb{R}^2$ v of length 1. tangent to \mathbb{R}^2 at x

Why are



not reg. homotopic?

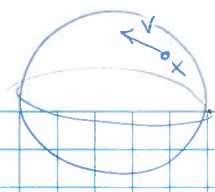
We saw that the second components of the two \hat{f} maps ... circle the same

The number of times that f wrapped around S^1 was exactly $\chi(f)$.



Let's do the same for the 2-sphere. Unit tangent bundle of S^2

$$TS^2 = \{ (x, v) : x \in S^2, v \text{ tangent to } S^2 \text{ at } x, \|v\|=1 \}$$



It would seem that TS^2 should be $S^2 \times S^1$

the tangent vector lives on a circle.

But this is not right as we'll see in the exercises. As we move the point the circle moves and twists. It is a bit like the Möbius band (which is not homeomorphic to the cylinder).

In fact TS^2 is the space of orthonormal frames in \mathbb{R}^3 (based at the origin) oriented

such a frame

$$\{ e_1, e_2, e_3 \}$$

$$e_i \cdot e_j = 0 \text{ if } i \neq j \\ e_i \cdot e_i = 1$$

can be seen

as a 3×3 matrix

$$\text{which is orthogonal: } A \cdot A^t = Id = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

positively oriented means $\det A = 1$.

The set of such matrices is denoted $SO(3)$. So $TS^2 \cong SO(3)$.

linear rotations about $o \in \mathbb{R}^3$

Proof:



$$(x, v) \in TS^2 \Leftrightarrow \|x\|=1, \|v\|=1, x \perp v$$

There's a way of building a 3rd vector which is orthogonal to those, norm 1 and forms an oriented basis

Namely we must take 3rd vector to be $x \times v$

cross product.

This is no extra information (x, v) and $(x, v, x \times v)$ carry the same information. \square

$$f: [0, 1] \rightarrow S^2 \quad f(0) = f(1); f'(0), f'(1)$$

$$\hat{f}: [0, 1] \rightarrow TS^2 \cong SO(3) \\ t \mapsto (f(t), \frac{f'(t)}{\|f'(t)\|})$$

same trick as Whitney-Grauert

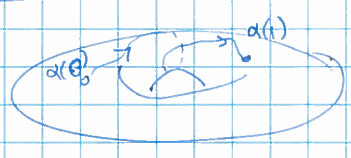
Want to convince you that there are two regular homotopy classes: this can be illustrated by the "waiter trick"...

The point is $\pi_1(SO(3)) \cong \mathbb{Z}/2$ (the group with 2 elements)

$$\text{We've already met another } \pi_1 \text{ without saying so: } \pi_1(T\mathbb{R}^2) \cong \pi_1(S^1 \times \mathbb{R}^2) \cong \pi_1(S^1) \cong \mathbb{Z}.$$

The fundamental group

X a space



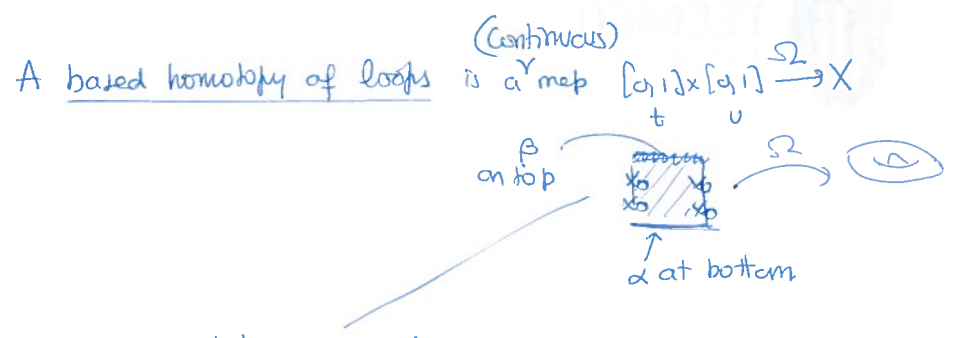
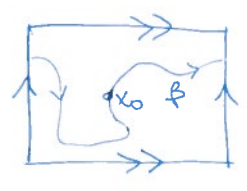
path: $\alpha: [0, 1] \rightarrow X$ continuous

loop α path with $\alpha(0) = \alpha(1)$

[don't care about derivative]

This is why Whitney-Grauert invariant is an integer.

Based loop: $\beta: [0,1] \rightarrow X$ $\beta(0) = \beta(1)$ is a fixed chosen point $x_0 \in X$.



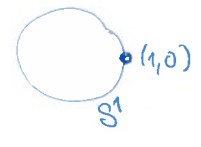
at beginning and end of each horizontal slice we are at the base point.

Can now define the fundamental group

$$\pi_1(X, x_0) = \{ \text{based homotopy classes of based loops } [\alpha] \} = \{ [\alpha] \mid \alpha \text{ is a based loop} \}$$

↑
equivalence classes up to homotopy

Example: $X = S^1$ $x_0 = (1,0)$



$$\pi_1(S^1, x_0) = \mathbb{Z}$$

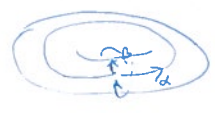
↑
wrapping number.

We'll see a tool to actually prove this

What about the torus? $\pi_1(\text{torus}) = \mathbb{Z} \times \mathbb{Z}$

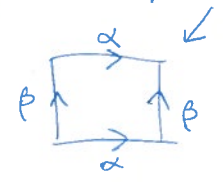
↑
how many times we wrap in each direction.

Why one



alpha then beta same as beta then alpha?

It's easy to see on the square model:



Next time we'll learn how to compute π_1 and

see $\pi_1 SO(3) \cong \mathbb{Z}/2$